

Connecticut State Board of Accountancy
Meeting Agenda
Tuesday, June 2, 2015 – 10:00 A.M.
Marcum LLP
City Place II 185 Asylum Street
Hartford, CT 06103
860-509-6179
sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. **Action required** – Approve Minutes of the May 5, 2015 Board Meeting

INFORMATION REPORTS

2. AICPA -Suzanne Jolicoeur, Senior Manager, State Regulation & Legislation
3. Treasury Inspector General for Tax Administration-Christopher Gust

Administrative Updates

4. Mutual Recognition Agreement
5. Peer Review Concept Statement
6. Legislative and Regulatory Updates
7. Budget Proposal Update/ Status of the Board
8. Impact on Recent Laws
9. International Pathways
10. Supreme Court
11. Tax Preparers
12. Office Updates and Request
13. SBA-12 Industry Experience
14. Exam Preparation for Course Credit – NASBA Response
15. Topics for Newsletter
16. AICPA Code of Professional Conduct Adoption
17. ERISA Audit Updates – Peer Review Requirements
18. NASBA Candidate Care
19. Eastern Regional Conference
20. Firm Permit Malpractice Request
21. Open Items

REGULATIONS/ LEGISLATIVE

22. Commission and Contingency Fees – Vote requested

PUBLIC COMMENT/ SPEAKERS

23. Opportunity for members of the public to address the Board

EXAM AND LICENSING

OLD BUSINESS

24. **Action required – Industry, Government, or Self Employed experience, and Other Applications**
 - a. **Kevin Walker** - Non conforming experience, tabled at the May 2, 2015. **(Planning to attend)**
 - b. **John Sherer** - Requesting for an extension of time to take the audit section of the CPA exam, additional information, tabled at the March 3, 2015 board meeting. **(Planning to attend)**
 - c. **Alaina Umbach**- Initial application and certificate registration application, Non-public experience, tabled at May 5, 2015 board meeting due to lack of quorum.
 - d. **Cheri Mazza** – Non-conforming experience, tabled at the May 2, 2015 requesting applicant to submit a letter of good standing from the State of Texas. Applicant submitted additional information.

NEW BUSINESS

25. **Action Required - Industry, Government, or Self Employed experience, and Other Applications**
 - a. **Igor Yegoroff** - Initial CPA Certificate & Initial License, Government experience, missing 3 credits in accounting.
 - b. **Benjamin Atkins** - Initial CPA Certificate & Initial License, non-public experience
 - c. **Russell Pickett** - Initial CPA Certificate & Initial License, non-public experience
 - d. **Sanya Ith** - Initial CPA Certificate & Initial License, non-public experience
 - e. **Shannon Cavallo** - Initial CPA Certificate & Initial License, non-public experience
 - f. **Phyllis Wang** - Initial CPA Certificate & Certificate Registration, non-public experience
 - h. **Alex Johnson**- Initial CPA Certificate & Initial License, non-public experience

26. **REVIEW FIRM PERMITS FOR APPROVAL** – List Provided

27. **PCAOB** Inspection Reports Received – member comment on review

- Review date Report on May 13, 2015
Anchin Block & Anchin LLP
Arthur F. Bell Jr. & Associates, LLC
- Review date Report on May 21, 2015
BBD, LLP
Deloitte & Touche LLP
Eide Bailly LLP
Freed Maxick CPAs, P.C.

EXAMINATION AND CPE EXTENSION

28. **Frederick Henrikson** - requesting waiver of late fees for non-renewal of 2013, 2014, 2015 licenses and firm permits.

OTHER BUSINESS

29. Enforcement Cases
 - a. **2014-40** Connecticut State Board Accountancy vs. Jeffrey Oller **(Planning to attend)**
 - b. **2012009-3757** – Rock Dean Holland v. James R. Levy
 - c. **2012-103773** – Mr. & Mrs. Edward Krantz v. James R. Levy
 - d. **2012-103777** – Darla Hengeler v. James R. Levy
 - f. **2012-3793** – Kerry Wiland v. James R. Levy
 - g. **2012103778** - David & Mary Ann Niland v. James R. Levy
 - h. **2013-21** – Maria Notar v. James R. Levy
 - i. **2015-1** – David Jeffrey Strine v. Giamalis & Company, LLC

- j. **2015-19** Connecticut State Board of Accountancy v. Brendan M. Begley
- k. **2015-5** Connecticut State Board of Accountancy v. Brett Mcgrath
- l. **2015-17** Connecticut State Board of Accountancy v. Lesley A. Taylor-Nappi
- m. **2015-13** Connecticut State Board of Accountancy v. Frederick D. Henrikson
- n. **2015-11** Connecticut State Board of Accountancy v. Mario J Enxuto
- o. **2015-23** Connecticut State Board of Accountancy v. Michaud Accavallo Woodbridge & Cusano, LLC
- p. **2015-18** - Connecticut State Board of Accountancy v. David Alfano

PUBLIC COMMENT

30. Opportunity for members of the public to address the Board

Next scheduled meeting: Tuesday, August 4, 2015 -10:00 AM- 2nd Floor, 30 Trinity Street, Hartford, CT